

# Towards real-time detection of tumor margins using photothermal imaging of immune-targeted gold nanoparticles

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**Background:** One of the critical problems in cancer management is local recurrence of disease. Between 20% and 30% of patients who undergo tumor resection surgery require reoperation due to incomplete excision. Currently, there are no validated methods for intraoperative tumor margin detection. In the present work, we demonstrate the potential use of gold nanoparticles (GNPs) as a novel contrast agent for photothermal molecular imaging of cancer.

**Methods:** Phantoms containing different concentrations of GNPs were irradiated with continuous-wave laser and measured with a thermal imaging camera which detected the temperature field of the irradiated phantoms.

**Results:** The results clearly demonstrate the ability to distinguish between cancerous cells specifically targeted with GNPs and normal cells. This technique, which allows highly sensitive discrimination between adjacent low GNP concentrations, will allow tumor margin detection while the temperature increases by only a few degrees Celsius (for GNPs in relevant biological concentrations).

**Conclusion:** We expect this real-time intraoperative imaging technique to assist surgeons in determining clear tumor margins and to maximize the extent of tumor resection while sparing normal background tissue.

**Keywords:** photothermal imaging, gold nanoparticles, molecular imaging

## Introduction

Cancer is a leading cause of death worldwide.<sup>1</sup> One of the critical problems in cancer management is local recurrence of disease. Between 20% and 30% of patients who undergo tumor resection surgery require reoperation because of incomplete excision.<sup>2,3</sup> Currently, tumor margins are examined using conventional histological tests which are usually performed following the surgical procedure. A few methods have been developed in recent years for real-time intraoperative tumor margin detection with some success, including diffusive reflectance,<sup>4,5</sup> radiofrequency-based detection,<sup>6</sup> and targeted fluorescence imaging.<sup>7</sup> However, there remains an urgent need to develop a highly specific and sensitive intraoperative real-time tumor margin detection method which will reduce the risk of cancer recurrence and the need for reoperation.

During the last decade, much research has focused on developing nanoparticles which enhance scattering or absorption in the near infrared region because of the relatively high transmittance of tissue in that region. Particles such as fluorescence dyes, gold nanoshells, and gold nanorods have been utilized either as diagnostic tools<sup>8-10</sup> or as photothermal therapy-mediated agents.<sup>8,9,11-14</sup>

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Gold nanorods have gained much attention as a diagnostic tool due to their biocompatibility, their relatively easy fabrication and bioconjugation with biomolecules for targeting, their unique optical properties, and enhanced optical extinction coefficient as compared with conventional fluorescence dyes;<sup>15,16</sup> by changing their size and aspect ratio, their surface plasmon resonance wavelength and absorption to scattering coefficient ratio can be tuned and controlled.<sup>15</sup> Therefore, they have been widely utilized as near infrared,<sup>14</sup> photoacoustic,<sup>17</sup> Raman scattering,<sup>8</sup> and diffusion reflection<sup>18,19</sup> imaging contrast agents.

In addition to research regarding early detection of cancer, gold nanorods have been utilized as photothermal therapy-mediated agents. In these studies, the absorption properties of gold nanorods in the surface plasmon resonance wavelength are used to elevate temperature to 50°C and above, usually using lasers with a high optical output (above 10 W/cm<sup>2</sup> for about 20 minutes) in order to achieve effective denaturation and coagulation of cellular proteins, as well as cell death.<sup>11,12,20,21</sup>

An *in vitro* proof of concept for photothermal imaging using targeted gold nanoparticles (GNPs) is demonstrated in this paper. By selectively increasing the temperature of GNPs that specifically target and decorate the surface of cancer cells, we can distinguish between cancerous and noncancerous cells (ie, normal background tissue). We show that this technique has the ability to discriminate sensitively between adjacent concentrations of GNPs, while the temperature of the sample is elevated by only a few degrees Celsius. This is important because elevation of temperature to above 40°C may denature proteins and release heat shock proteins.<sup>11</sup>

Using a thermal camera, the photothermal imaging technique overcomes the inevitable background signal caused by light scattering from tissue. Another important advantage of this imaging technique is the use of the absorption properties of GNPs rather than their scattering properties. Imaging techniques based on the scattering properties of GNPs suffer from relatively high background noise and low contrast due to the relatively high scattering of tissue. By utilizing only the absorption properties of GNPs, higher contrast between the targeted cancer cells and normal background tissue can be achieved.

## Materials and methods

### Nanoparticle synthesis and characterization

Gold nanospheres with a diameter of 30 nm were synthesized using sodium citrate according to the methodology described by Enüstün and Turkevich.<sup>22</sup> Gold nanorods (25 nm × 65 nm)

were synthesized using the seed-mediated growth method.<sup>23</sup> Particle size, shape, and uniformity were measured using transmission electron microscopy. For the bioconjugation process, a protective layer of poly(ethylene glycol) (PEG) was adsorbed onto the surfaces of the gold nanospheres in order to prevent aggregation. The PEG layer consisted of a mixture of heterofunctional PEGs (SH-PEG-OCH<sub>3</sub>, about 85%, molecular weight approximately 5 kDa and an SH-PEG-COOH, about 15%, molecular weight approximately 3.4 kDa, Creative PEGWorks, Winston-Salem, NC). For cancer cell targeting, heterofunctional PEGs were covalently conjugated with an anti-epithelial growth factor receptor (EGFR) monoclonal antibody (Erbix<sup>®</sup>, Merck KGaA, Darmstadt, Germany), using 1-ethyl-3-(3'-(dimethylamino)propyl)carbodiimide and sulfo-NHS (Thermo Fisher Scientific, Waltham, MA).<sup>24,25</sup> Bioconjugation of the gold nanorods to the anti-EGFR antibody was achieved according to the method described by Lvov et al,<sup>26</sup> using polystyrene sulfonate.

### Phantoms

Solid phantoms were prepared in order to simulate the optical properties of skin tissue.<sup>27</sup> Phantoms were prepared using 3 × 10<sup>-3</sup> percent of India ink as an absorbing component and 2% of Intralipid (Lipofundin MCT/LCT 20%, B Braun Melsungen AG, Melsungen, Germany) as a scattering component.<sup>28</sup> Gold nanospheres (30 mg/mL) or gold nanorods (5 mg/mL) were added to the phantom solutions, achieving final gold concentrations of 0.002, 0.02, 0.022, 0.03, 0.04, and 0.1 mg/mL.

In order to prepare solid phantoms, the solutions were heated and mixed at a temperature of approximately 90°C while 1% agarose powder (SeaKem LE Agarose, Lonza, Allendale, NJ) was slowly added. The heated phantom solutions were cooled under vacuum conditions to avoid formation of bubbles. All phantoms were poured into a 24-well plate (16 mm diameter wells), each well containing different concentrations of gold.

### Cell preparation and bioconjugation

A431 human head and neck cancer cells (2.5 × 10<sup>6</sup>), which are known to express an extremely high level of EGFR,<sup>29</sup> were cultured in 5 mL of Dulbecco's modified Eagle's medium containing 5% fetal calf serum, 0.5% penicillin, and 0.5% glutamine at 37°C under 5% CO<sub>2</sub>. For cell-GNP conjugation, 1 mL of cell suspension (2.5 × 10<sup>6</sup>/mL) was mixed with 1 mL of antibody-coated gold nanorod solution (5 mg/mL), and allowed to interact for 30 minutes at room temperature.

Then, the solution was centrifuged three times at 1000 rpm for 5 minutes to wash out unbound gold nanorods, and after each centrifugation, the mixture was redispersed in phosphate-buffered solution (1 mL total volume).

## Experimental setup

This experiment was designed to image the distribution of temperature over the sample area under laser illumination. The laser beam was directed at the sample from above, as shown in Figure 1. Two different lasers were used: one, a red diode laser at wavelength of 663 nm (custom built), and the other, a green Nd:YAG diode pumped solid state laser at 532 nm (Suwtech Laser, DPGL2200 Photop, Fuzhou, China). In accordance with the peak absorption spectrum of GNPs, the red laser was used for samples with gold nanorods and the green one for samples with gold nanospheres.

Temperature elevation over the sample was imaged using a radiometric thermal imaging camera (FLIR Systems Inc, Boston, MA, model A325). The camera has  $320 \times 240$  pixels and a temperature sensitivity of  $0.07^\circ\text{C}$ . The spatial resolution of the camera is 0.5 mm. By adding an extra lens, it can achieve a spatial resolution of 0.1 mm at a working distance of 80 mm. This kind of camera is sensitive to thermal radiation at a wavelength range of 8–14  $\mu\text{m}$ , and is completely blind to lasers and other light sources at the visible or near infrared spectral range. For each experiment, a few seconds of ambient temperature were recorded (at the center of the laser beam). Next, the samples were irradiated until reaching

a saturation temperature. The irradiance was measured to be  $10 \text{ W/cm}^2$  at the center of the beam.

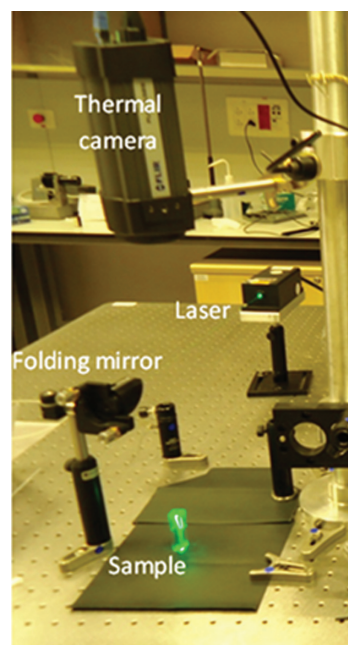
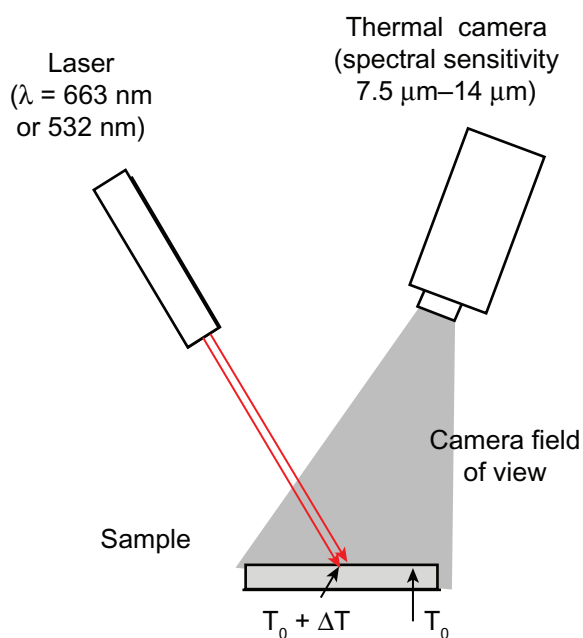
## Results and discussion

### GNP synthesis and characterization

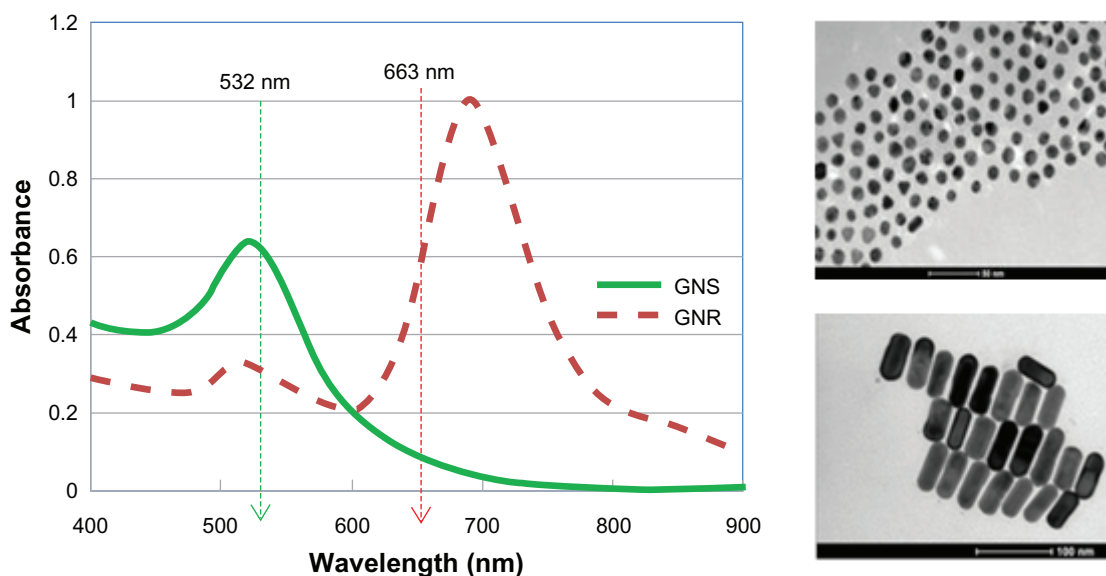
Figure 2 shows the absorbance spectra (ultraviolet-visible spectrometer, Shimadzu, UV1650 PC, Tokyo, Japan) of the gold nanorods and gold nanospheres, and the wavelength of the lasers used (532 nm and 663 nm spectrophotometer, USB2000, Ocean Optics Inc, Dunedin FL). The absorption peaks of gold nanospheres and gold nanorods are around 515 nm and 690 nm, respectively. Particle size, shape, and uniformity were measured using transmission electron microscopy, showing 30 nm gold nanospheres and  $25 \text{ nm} \times 65 \text{ nm}$  gold nanorods, with a narrow size distribution (10%, Figure 2, right). In order to evaluate the potential of tumor margin delineation using targeted GNP with photothermal imaging techniques, phantoms containing different concentrations of gold nanospheres and gold nanorods, and cancer cells (A431) that were specifically targeted with gold nanorods, were irradiated, and their heating profiles were measured.

### Photothermal imaging of phantoms with GNPs

Figure 3 shows the temperature difference profiles for irradiated gold nanorod solutions as a function of time for different concentrations of gold nanorods. As demonstrated, after less



**Figure 1** The optical setup.

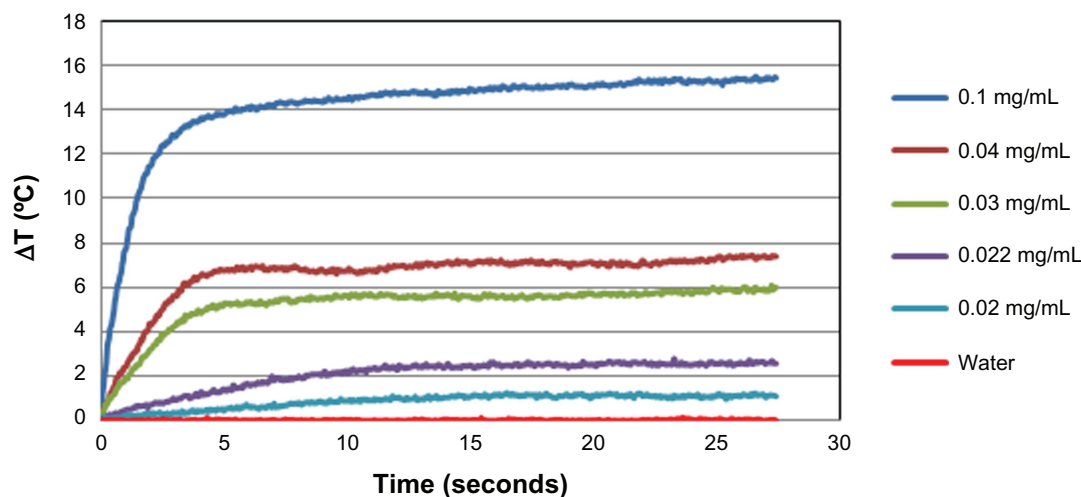


**Figure 2** Left: Absorbance spectra of gold nanospheres (green) and gold nanorods (dashed red). **Notes:** The wavelengths of the lasers are shown by the arrows. Right: transmission electron microscopy image of 30 nm gold nanospheres (upper figure, scale bar 100 nm) and 25 nm × 65 nm gold nanorods (lower figure, scale bar 100 nm).

than 5 seconds of laser irradiation, the temperature profiles of the irradiated gold nanorod solutions were significantly different than those of the control solution without gold nanorods. The temperature of the control sample remained unchanged during the experiment, while the temperature of the gold nanorod samples was elevated. In addition, it can be seen that there was a positive correlation between the gold nanorod concentrations and temperature elevation. For the highest gold nanorod concentration (0.1 mg/mL), a temperature difference of about 14°C was observed after 10 seconds of irradiation, while for the lowest detectable concentration (0.02 mg/mL), a concentration that is clinically relevant,<sup>12,18,30</sup>

the temperature was elevated by about 1°C after the same period of time.

The effect of laser wavelength on the heating properties of the gold nanospheres and gold nanorods was further investigated using green and red lasers. A red laser was used because the near infrared region of the spectrum provides maximal penetration of light due to relatively lower scattering and absorption from intrinsic tissue chromophores. In this region, the penetration depth of red light is up to 10 cm, depending on the type of tissue.<sup>31</sup> In comparison, the tissue penetration depth of green light (532 nm) is very low (less than 500 μm),<sup>31</sup> which could



**Figure 3** Temperature elevation as a function of irradiation time with the 663 nm laser for different concentrations of gold nanorods in aqueous solution. **Note:** The graph reaches a plateau after approximately 5 seconds.

be useful for superficial lesions and margin detection during surgery.

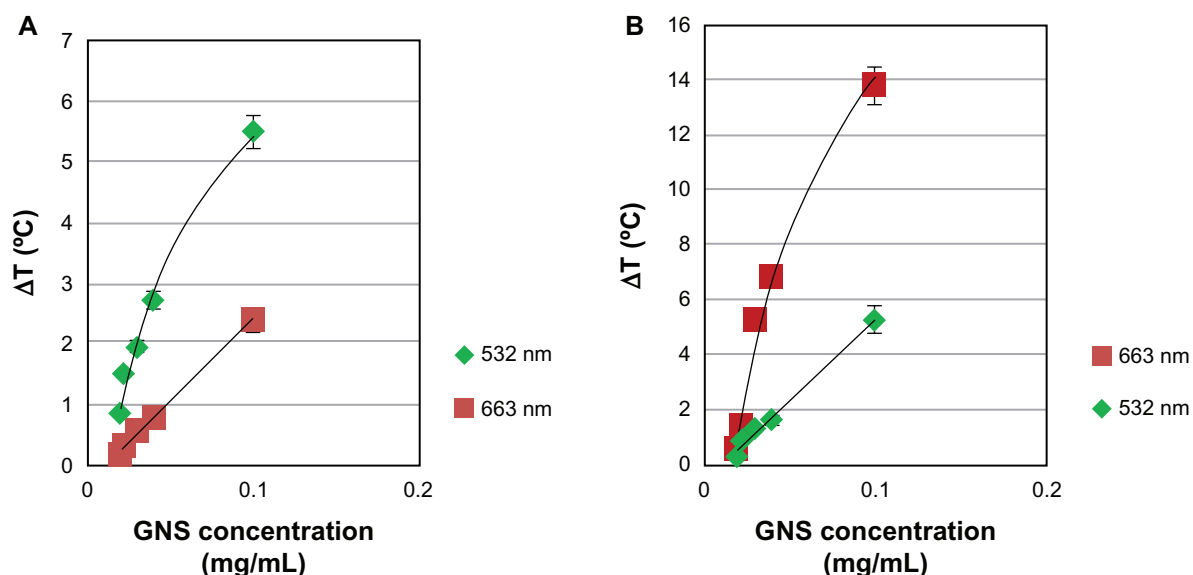
Different concentrations of gold nanospheres (absorption peak at 515 nm) and gold nanorods (absorption peak at 690 nm) were irradiated with both 663 nm and 532 nm lasers for 30 seconds. As expected, the temperature profile was strongly affected by the optical properties of the nanoparticles. When gold nanospheres (0.1 mg/mL) were irradiated with 532 nm, the temperature increased by 5.5°C after 5 seconds, while following irradiation with the 663 nm laser for the same period of time, a temperature elevation of only 2.5°C was observed (Figure 4A). Figure 4B depicts similar results for gold nanorods; when gold nanorods (0.1 mg/mL) were irradiated with 663 nm, the temperature increased by 14°C after 5 seconds, while following irradiation with the 532 nm laser for the same period of time, a temperature elevation of only 4.5°C was observed. The temperature elevation of the samples when irradiated with lasers not at the GNP resonance peak can be explained by the tail of the resonance peak of the gold nanospheres at 690 nm and the “short axis” gold nanorod peak at 510 nm. In addition, because of the larger cross-section of the gold nanorods compared with that of the gold nanospheres,<sup>15</sup> the gold nanorods had a higher absorption efficiency, which was converted to thermal energy (Figure 4A and B).

This study further investigated the potential of this imaging modality to delineate tumor margins during surgery. Two half-cylindrical solid phantoms, one with gold nanorods

(0.04 mg/mL) and the other a phantom without gold nanorods, were bonded and irradiated with the 663 nm laser. As demonstrated in Figure 5, after 10 seconds of irradiation, the gold nanorod phantom region and the homogenous phantom are easily distinguishable. The temperature in the gold nanorod region was elevated by 3.5°C after 10 seconds of irradiation (Figure 5B), and by 9°C after 5 minutes of irradiation (Figure 5C). This concentration of gold nanorods was found to be clinically relevant in several in vivo studies<sup>32,33</sup> and, therefore, demonstrates the ability to sensitively delineate tumor margins during surgery.

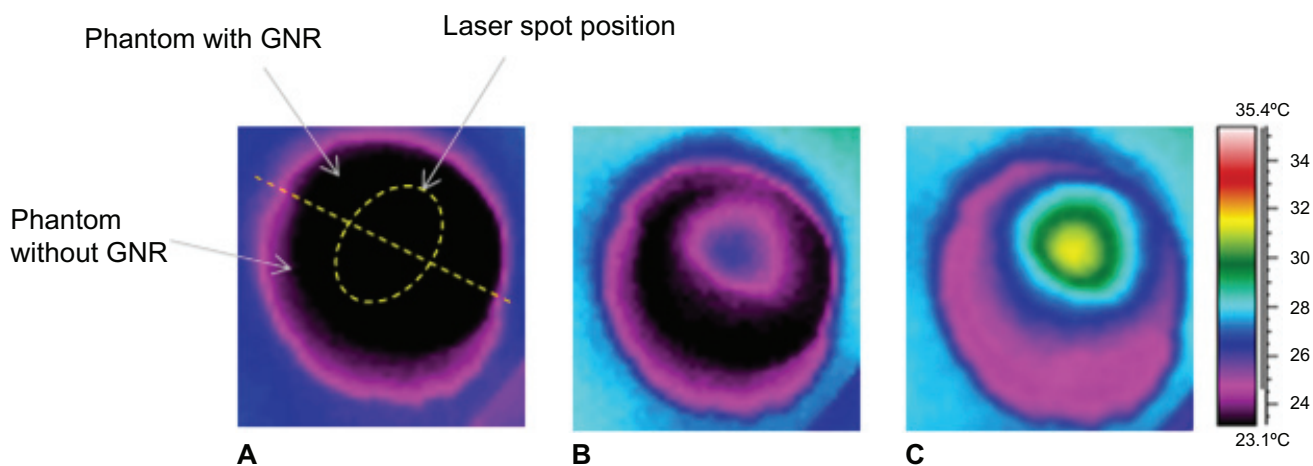
### Photothermal imaging of gold nanorod-targeted cancer cells

In order to demonstrate the ability to perform photothermal imaging using targeted gold nanorods, the specificity of the interaction between the antibody-coated gold nanorods and the A431 SCC cancer cells (which highly express epithelial growth factor) was evaluated. Two types of gold nanorods were introduced to the cells; the first was specifically coated with anti-EGFR antibody, while the second, which was used as a negative control, was coated with a non-specific antibody (anti-rabbit IgG). Flame atomic absorption spectroscopy measurements (SpectrAA 140, Agilent Technologies, Santa Clara, CA) quantitatively demonstrated that active tumor targeting (anti-EGFR-coated gold nanorods) was significantly more specific than the control experiment (anti-rabbit IgG coated gold nanorods). The A431 cells took up  $26.3 \pm 2.3 \mu\text{g}$  of targeted



**Figure 4** Temperature elevation as a function of concentrations of gold nanospheres (A) and gold nanorods (B) for the two lasers.

**Notes:** The time point for comparison was after 5 seconds of laser irradiation, when the temperature curves had reached a plateau. The laser optical intensity on the sample was 10 W/cm<sup>2</sup> for both lasers.

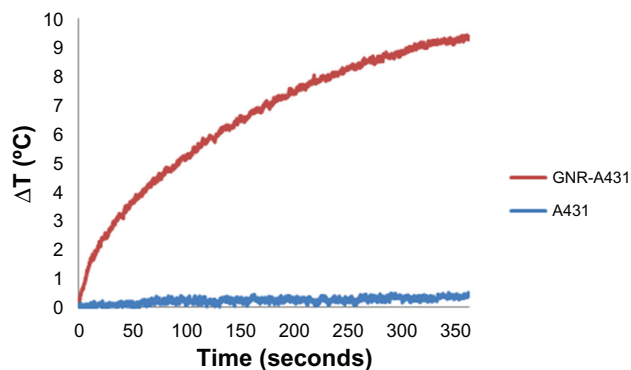


**Figure 5** Thermal images of a sample made of two half-cylindrical solid phantoms joined together, one with gold nanorods and the other without. Image (A) was taken before laser irradiation. The border between the phantoms is shown by a dashed straight line. The elliptical contour shows the place where the laser beam hits the sample. Images (B) and (C) were taken after 10 seconds and 5 minutes of laser irradiation, respectively.

**Note:** It can be seen that the temperature in the part of the sample with the gold nanorods rises compared with that in the part without gold nanorods.

gold nanorods ( $3.9 \times 10^4$  gold nanorods per A431 cell), while parallel cells in the negative control experiment took up only  $0.2 \pm 0.01 \mu\text{g}$  of gold nanorods ( $3.4 \times 10^3$  gold nanorods per cell). Our results correlate well with those from previously published studies which report that head and neck squamous cell carcinoma expresses from  $2 \times 10^4$  to  $2 \times 10^6$  EGFR per cell.<sup>34,35</sup>

For photothermal imaging studies, gold nanorod-A431 bioconjugated cancerous cells ( $2.5 \times 10^6/\text{mL}$ ) and control samples (A431 cells without gold nanorods) were irradiated for 6 minutes. As shown in Figure 6, in about 5 seconds, there is a distinct difference of  $1^\circ\text{C}$  between the gold-targeted cells and the non-targeted cells. Following 30 seconds of laser irradiation, a temperature difference of  $3^\circ\text{C}$  has been observed, which is an appropriate temperature for imaging without affecting cell viability.<sup>36</sup>



**Figure 6** Temperature elevation of gold nanorods bioconjugated with an A431 cancer cell line compared with A431 cells without gold nanorods in solution.

**Notes:** It can be seen that the bioconjugated GNR-A431 has a distinctive heating profile compared with the A431 cells.

## Conclusion

In summary, this in vitro study demonstrates the feasibility of photothermal imaging of tumor margins when targeted with GNPs. By reducing the laser irradiation, GNP-targeted cancer cells can be detected without affecting cell viability. It has been shown that a low concentration of GNPs ( $0.02 \text{ mg/mL}$ ), lower than that found in several in vivo studies,<sup>18,32,33</sup> can be easily detected. An important advantage of this imaging technique is the use of the absorption properties of GNPs rather than their scattering properties, leading to high contrast between targeted cancer cells and normal background tissue.

The possibility of combining photothermal imaging with photothermal therapy is an exciting approach toward theranostics. Additional laser irradiation following the detection of residual tumors adjacent to the primary tumor would lead to selective destruction of the cancer cells targeted with GNPs within the same clinical setting. We expect this in vitro proof of concept study will eventually lead to an intraoperative photothermal imaging technique which will assist surgeons in determining tumor margins accurately during surgery, leading to complete tumor resection and improved patient outcome.

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## Disclosure

The authors report no conflicts of interest in this work.

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